

#4  
2611  
14  
8-20-02

<b>TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT</b> (Under 37 CFR 1.97(b) or 1.97(c))			Docket No. 3699500	
In Re Application Of: John Hendricks				
Serial No. 09/966,594	Filing Date October 1, 2001	Examiner N/A	Group Art Unit 2611	
Title: Set Top Terminal for Organizing Program Options available in Television Delivery System				
			<b>RECEIVED</b> AUG 19 2002	
Address to: Assistant Commissioner for Patents Washington, D.C. 20231				
Technology Center 2600				
<b>37 CFR 1.97(b)</b>				
1. <input checked="" type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.				
<b>37 CFR 1.97(c)</b>				
2. <input type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:				
<input type="checkbox"/> the statement specified in 37 CFR 1.97(e);				
<b>OR</b>				
<input type="checkbox"/> the fee set forth in 37 CFR 1.17(p).				

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**

(Under 37 CFR 1.97(b) of 37 CFR)

Docket No.  
3698D10

In Re Application: John Hendricks

AUG 15 2002

PATENT &amp; TRADEMARK OFFICE

Serial No.

09/966,594

Filing Date

October 1, 2001

Examiner

N/A

Group Art Unit

2611

Set Top Terminal for Organizing Program Options available in Television Delivery System

RECEIVED

AUG 19 2002

Technology Center 2600

**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 04-1425 as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of \_\_\_\_\_
- ☐ Credit any overpayment.
- ☒ Charge any additional fee required.

**Certificate of Transmission by Facsimile\***

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (F

(Date)

Signature

Typed or Printed Name of Person Signing Certificate

**Certificate of Mailing by First Class Mail**

I certify that this document and fee is being deposited with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Signature of Person Mailing Correspondence

Typed or Printed Name of Person Mailing Certificate

\*This certificate may only be used if paying by deposit account.

  
Signature

Dated: August 15, 2002

Sung Kim

Reg. No. 45,398

DORSEY &amp; WHITNEY LLP

1660 International Drive


Suite 400

McLean, VA 22102

(703) 288-5240

(703) 288-5260 facsimile

CC:

 <p style="text-align: center;"><b>INFORMATION DISCLOSURE CITATION</b> (Use several sheets if necessary)</p>	Docket Number (Optional) <b>3698D10</b>	Application Number <b>09/966,594</b>
	Applicant(s) <b>John Hendricks</b>	
	Filing Date <b>October 1, 2001</b>	Group Art Unit <b>2611</b>

**U.S. PATENT DOCUMENTS**

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	1.	5,223,924	6/29/1993	Hugo Strubbe	725	46	<b>RECEIVED</b> <b>AUG 19 2002</b> <b>Technology Center 2600</b>
	1.	5,410,344	4/25/1995	Gordon Graves	725	46	
	3.	5,486,278	1/9/1996	Hugo Strubbe, et al.	725	61	
	4.	5,469,206	11/21/1995	Hugo Strubbe, et al.	725	60	
	5.	5,075,771	12/24/1991	Kazou Hashimoto	725	46	
	6.	5,990,927	11/23/1999	John Hendricks, et al.	725	132	
	7.	5,477,262	12/19/1995	Robert Banker, et al.	725	38	
	8.	5,758,257	5/26/1998	Fredrick Herz	725	116	
	9.	4,602,279	7/22/1986	Michael Freeman	725	35	

**FOREIGN PATENT DOCUMENTS**

REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						YES	NO
1.	WO 94/14282	6/23/1994	WIPO				
2.	WO 94/13107	6/9/1994	WIPO				

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**


<b>EXAMINER</b>	<b>DATE CONSIDERED</b>
-----------------	------------------------

**EXAMINER:** Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.